

WITHERIDGE PARISH COUNCIL

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Minutes of the Finance & General Purposes Committee held 16/01/25 19:00 at Witheridge Rest A While Day Centre

MEMBERS PRESENT:

Cllr Harvey
Cllr Northam

Cllr Goodwin
Cllr Fox

Parish Clerk

1. To Note Apologies.

Apologies noted from Cllrs Richardson and Smith. Cllr Fox substituting for Cllr Richardson.

2. Minutes.

2.1. 05/12/24. To sign if approved, minutes of the Committee.

RESOLVED: Cllr Goodwin propose, Cllr Northam seconded and all were in favour approval.

3. To consider Code of Conduct Matters:-

3.1. **Written councillor dispensation requests arising.**

None.

3.2. **Declarations of Interests not declared in the Register of Interests.**

None.

4. To consider Policy Matters:-

The following draft documents 4.1, 4.2, 4.4 & 4.5 were reviewed and recommended for adoption as amended and appended to these minutes.

4.1. **Asset Cleaning Schedule.**

4.2. **Grounds Maintenance Schedule Review.**

4.3. **Playgrounds Inspection Review.**

Wicksteed Playgrounds correspondence dated 16/01/24 was reported.

RECOMMENDED: Cllr Goodwin proposed, Cllr Fox seconded and all were in favour quote acceptance. **Clerk to action.**

4.4. **Financial Regulations Review.**

4.5. **Communications Review.**

4.6. **Harassment Legislation Review requirements.**

It was agreed further guidance on incorporating the requirements in the council's working practices would be sought. **Cllr Goodwin and clerk to action.**

Meeting closed 21:2

Signature:

Chairman.

Dated:

Appendices

Asset Cleaning Schedule.

Grounds Maintenance Schedule.

Financial Regulations.

Communications Policy.



ASSET CLEANING WORK SCHEDULE 2025

Tendering Contractor:	
Contact:	
Contractor Address:	
Telephone:	
Email:	

This specification details required asset cleaning at locations in and around Witheridge.

Definitions

The term "Council" in this document refers to Witheridge Parish Council.

The term "Contractor" in this document refers to the Company or individual contractor who tenders for this contract and who may, subsequently, accept the offer of the contract and who, upon acceptance, would then be legally responsible for its full implementation.

Prerequisites

It is a condition of tendering and accepting the contract if offered that the following conditions are accepted by the contractor.

- This contract can only be accepted in its entirety.
- Sub-contracting is only permitted with the agreement of the Council and in such an event all conditions imposed by this contract shall apply equally to sub-contractors.
- Provide all the necessary labour, equipment, fuel and materials to carry out the work as specified in this schedule.
- The Contractor shall have sufficient resources to cover staff holidays/illness, machinery breakdowns and similar eventualities without disruption to the schedule of works detailed in the contract.
- Comply with all relevant Health and Safety regulations.
- Submit Method Statement (s) and Risk Assessments with the Contractor's Tender.
- Comply with the regulations of HMRC in respect of staff.

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- Indemnify the Council against eventualities arising from the work undertaken and to hold insurance in respect of third party liability in the minimum sum of £12m. (A copy of the insurance certificate must be lodged with the Clerk to the Council on acceptance of the contract and annually thereafter).
- Any changes to this contract must be made in writing and signed by authorised signatories of both the Council and the Contractor.
- In the event of the Contractor transferring the business to another company/person, the Council reserves the right to revise or foreclose the contract.
- Submit for the attention of the Clerk, detailed accounts specifying (in the terms of the Contract) the work carried out at Monthly intervals. The Council will settle such properly presented and approved accounts on or around the first Thursday of each month, provided the account is lodged with the Clerk at least a week prior to that date

General Requirements

The following apply to all sections of the specification.

- Operations to **be undertaken in accordance with attached schedule.**
- Collect and remove from the asset any litter or debris prior to carrying out any operation.
- On completion of every operation sites are to be left in a well-presented condition and secured where necessary.
- The Council must be notified as soon as practicable in the event that any works specified in the contract are not carried out or any unforeseen difficulties arise in respect of carrying out the contract.
- Additional asset cleaning by mutual agreement may be required.

Tendering

Contractors should inspect the sites and satisfy themselves as to the exact extent of the work. All costings should be quoted ex vat.

It should be noted that it is likely that the contract will be awarded for an initial one year period with the option of renewal given satisfactory performance.

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PUBLIC TOILET AND STOREROOM THE SQUARE				
Operation	Frequency	Unit	Cost per unit	Cost for year
Undertake cleaning of facilities	Once daily	Month		
Cleaning of building exterior	Twice	Annum		
Total annual cost				

VILLAGE SHELTERS				
Operation	Frequency	Unit	Cost per unit	Cost for year
Undertake cleaning of shelters frame and all glass/panels/roof of shelters located at:-				
Bus Shelter adjacent entrance to vicarage Church Street.	2 occasions	Per clean		
Bus Shelter adjacent to The Angel The Square.	2 occasions	Per clean		
Bus Shelter adjacent to Lakeland entrance.	2 occasions	Per clean		
Bus Shelter opposite Merryside Villas.	2 occasions	Per clean		
Youth Shelter parish hall grounds.	2 occasions	Per clean		
Total annual cost				

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Street Furniture				
Operation	Frequency	Unit	Cost per unit	Cost for year
Clean Finger Post adjacent bus shelter/The Angel The Square	Once	Annually		
Clean Noticeboards (The Square & Drayford Green)	Twice	Annually		
Total annual cost				

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GROUND MAINTENANCE WORK SCHEDULE 2025 – SUBMISSION DEADLINE 28/02/25

Tendering Contractor:	
Contact:	
Contractor Address:	
Telephone:	
Email:	

This specification details required grounds maintenance work at locations in and around Witheridge to include cutting of Highways verges.

Definitions

The term “Council” in this document refers to Witheridge Parish Council.

The term “Contractor” in this document refers to the Company or individual contractor who tenders for this contract and who may, subsequently, accept the offer of the contract and who, upon acceptance, would then be legally responsible for its full implementation.

Prerequisites

It is a condition of tendering and accepting the contract if offered that the following conditions are accepted by the contractor.

- This contract can only be accepted in its entirety.
- Sub-contracting is only permitted with the agreement of the Council and in such an event all conditions imposed by this contract shall apply equally to sub-contractors.
- Provide all the necessary labour, equipment, fuel and materials to carry out the work as specified in this schedule. For the avoidance of doubt the Contractor will not be required to supply plants fertilisers or other like items in respect of any new plantings or replacement plantings at the locations referred to above.
- The Contractor shall have sufficient resources to cover staff holidays/illness, machinery breakdowns and similar eventualities without disruption to the schedule of works detailed in the contract.
- Comply with all relevant Health and Safety regulations.
- Submit Method Statement (s) and Risk Assessments with the Contractor’s Tender.

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- Comply with the regulations of HMRC in respect of staff.
- Indemnify the Council against eventualities arising from the work undertaken and to hold insurance in respect of third party liability in the minimum sum of £12m. (A copy of the insurance certificate must be lodged with the Clerk to the Council on acceptance of the contract and annually thereafter).
- Any changes to this contract must be made in writing and signed by authorised signatories of both the Council and the Contractor.
- In the event of the Contractor transferring the business to another company/person, the Council reserves the right to revise or foreclose the contract.
- Submit for the attention of the Clerk, detailed accounts specifying (in the terms of the Contract) the work carried out at Monthly intervals. The Council will settle such properly presented and approved accounts on or around the first Thursday of each month, provided the account is lodged with the Clerk at least a week prior to that date

General Requirements

The following apply to all sections of the specification.

- Operations to **be undertaken in accordance with attached schedule.**
- Collect and remove from the grounds any litter or debris prior to carrying out any operation.
- Grass to be **cut to a height of 20mm** with arisings left on-site evenly spread. Grass encroaching on paths should be cut back.
- On completion of every operation sites are to be left in a well-presented condition, all arisings to be removed from roads & pavements, service gates closed and locked.
- The Clerk to the Council must be notified as soon as practicable in the event that any works specified in the contract are not carried out or any unforeseen difficulties arise in respect of carrying out the contract.
- **Chapter 8 highway signage requirements shall be met for sites adjacent to the highway.**
- **Additional grounds maintenance by mutual agreement chargeable at agreed Day Rate, materials and any equipment at cost.**

Tendering

Contractors should inspect the sites and satisfy themselves as to the exact extent of the work. All costings should be quoted ex vat.

It should be noted that it is likely that the contract will be awarded for an initial one year period with the option of renewal given satisfactory performance.

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ADDITIONAL ADHOC WORKS QUOTED FOR DURING THE YEAR	Cost
Day Rate:	

PLOTS 1-14 + 14A-C - HIGHWAY VERGES				
Operation	Frequency	Unit	Cost per unit	Cost for year
Mow / Strim all grass areas.	10 occasions	Per cut		
Total annual cost				

PLOT 15 - ADVENTURE PLAYGROUND (To include play area, skatepark, bmx track, access tracks from main highway AND <u>subject to confirmation Willow Rise Corner (WRC) - itemise additional cost separately in case the area is not adopted for any reason*</u>)				
Operation	Frequency	Unit	Cost per unit	Cost for year
Mow all grass areas, including bank adjacent to pavement on main road and <u>splays either side of main service/pedestrian gate access from B3137 road*</u> .	12 occasions	Per cut	Exclude WRC	
			Additional WRC when adopted	
Strim around the inside of the perimeter fencing and the base of hedges*.	6 occasions	Per strim	Exclude WRC	
			Additional WRC when adopted	
Supply and apply a suitable herbicide along the base of perimeter fencing and access track from highway.	2 applications per year	Per application		
Cut back the perimeter hedging hard & trees.	1 cut per year.	Per trim		
Trim back the road (B3137) hedging (both sides) max. 2m height*.	1 cut per year.	Per trim	Additional WRC when adopted	
Total annual cost				

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PLOT 16 - DISCONTINUED				
Operation	Frequency	Unit	Cost per unit	Cost for year
Total annual cost				

PLOT 17 - CHILDRENS PLAYGROUND				
Operation	Frequency	Unit	Cost per unit	Cost for year
Mow all grass areas.	13 occasions	Per cut		
Strim around the inside of the perimeter fencing and the base of hedges.	5 occasions per year - on alternate grass cutting visits.	Per strim		
Supply and apply a suitable herbicide along the base of perimeter fencing to aid strimming. <u>Herbicide must not be used around play equipment safer surfacing edges in order to avoid soil erosion creating a trip hazard</u>	2 applications per year	Per application		
Total annual cost				

PLOT 18 - TWO MOORS WAY NORTH STREET TO FIELD ENTRANCE				
Operation	Frequency	Unit	Cost per unit	Cost for year
Strim path.	2 occasions per year.	Per strim		
Total annual cost				

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PLOT 19 - TWO MOORS WAY				
Operation	Frequency	Unit	Cost per unit	Cost for year
Cut hedge back hard.	2 occasions per year.	Per cut		
Total annual cost				

PLOT 20 – VILLAGE NAME PLATES – ALL village road approaches				
Operation	Frequency	Unit	Cost per unit	Cost for year
Strim around – total no. 5.	8 occasions monthly	Per cut		
Clean Nameplates – total no. 5.	2 occasions annual	Per clean		
Total annual cost				

PLOT 21 – DOG WASTE BINS (not located at grass cut locations)				
Operation	Frequency	Unit	Cost per unit	Cost for year
Strim around – <u>total no. 4.</u>	8 occasions monthly	Per cut		
Total annual cost				

PLOT 24-24a – THE SQUARE				
Operation	Frequency	Unit	Cost per unit	Cost for year
24 Clear/sweep kerblin gutters of silt and weeds around public toilet block	3 occasions per year	Per time		
24a Weed around <u>noticeboard &</u> all trees in the Square & Church Street	2 occasions per year	Per time		
Total annual cost				

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PLOT 25 – DRAYFORD GREEN, DRAYFORD				
Operation	Frequency	Unit	Cost per unit	Cost for year
Mow all grass areas.	10 occasions monthly	Per cut		
Strim around the inside of the perimeter and ditches to <u>maintain visibility of water hazard.</u> <u>Maintain railing posts around perimeter free of ivy growth.</u>	4 occasions per year - on alternate grass cutting visits.	Per strim		
Strim reed growth on river bank to <u>maintain visibility of water hazard.</u>	1 occasion per year.	Per strim		
Cut hedge back hard along drainage ditch from road adjacent to noticeboard running alongside green to river (hedge should not be left overhanging drainage ditch).	1 occasion per year.	Per cut		
Total annual cost				

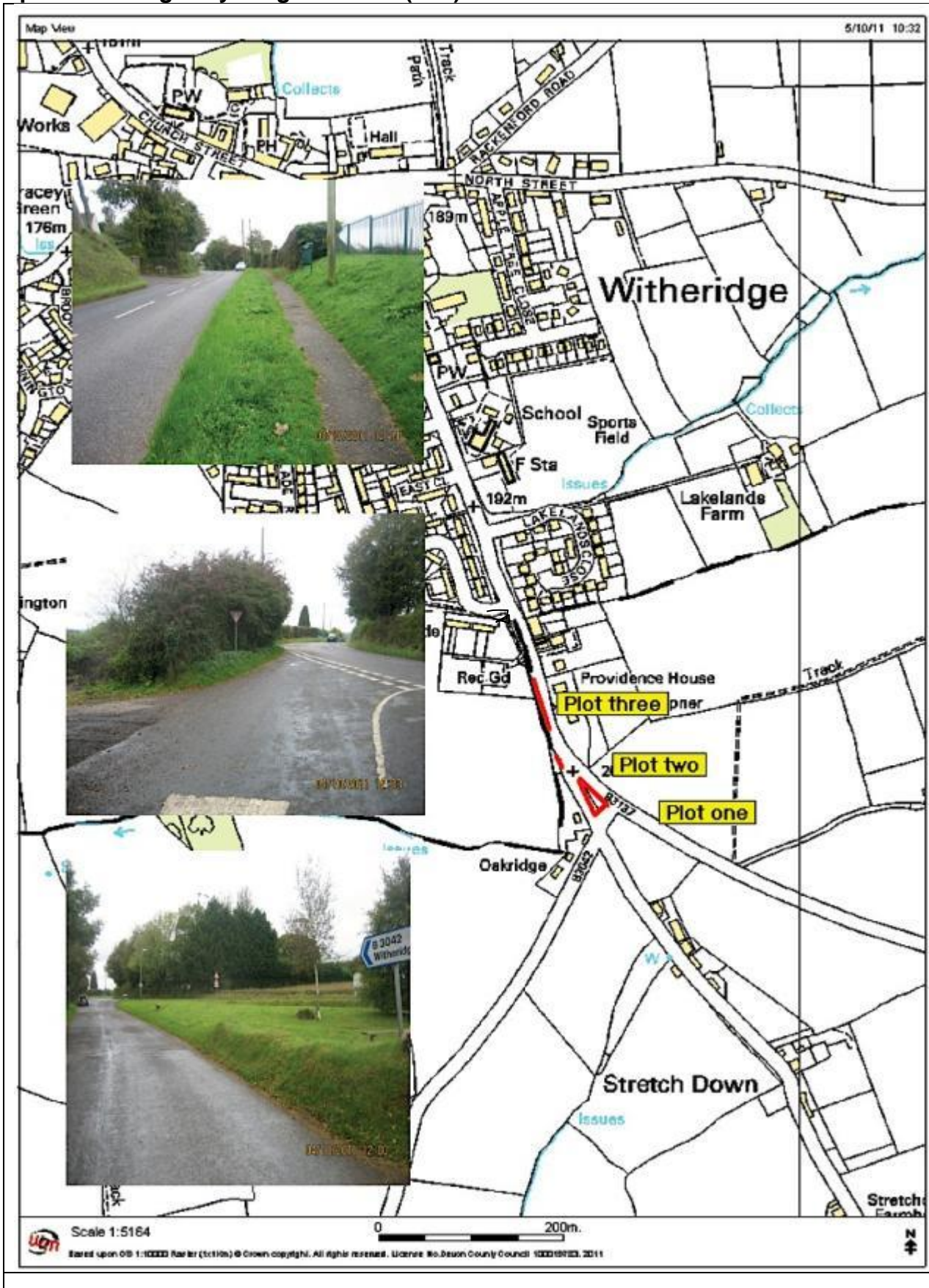
Plot 26 - Wetheridge Village All Kerb-lines				
Operation	Frequency	Unit	Cost per unit	Cost for year
Supply and apply a suitable herbicide to <u>all streets and public paths / alleys</u> (kerb lines and rear of paths) within the village urban area, alleys include:- 1/ The Drang (path between Square & West Street) 2/ Post Office Lane (path from PO to Brooke Rd) 3/ The Bow (path from North St to Fore St) 4/ Path from Apple Tree Close to Fore St	2 occasions per year.	Per application		
Total annual cost				

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Appendix I – Highway Verges 1 to 14 (A-C)

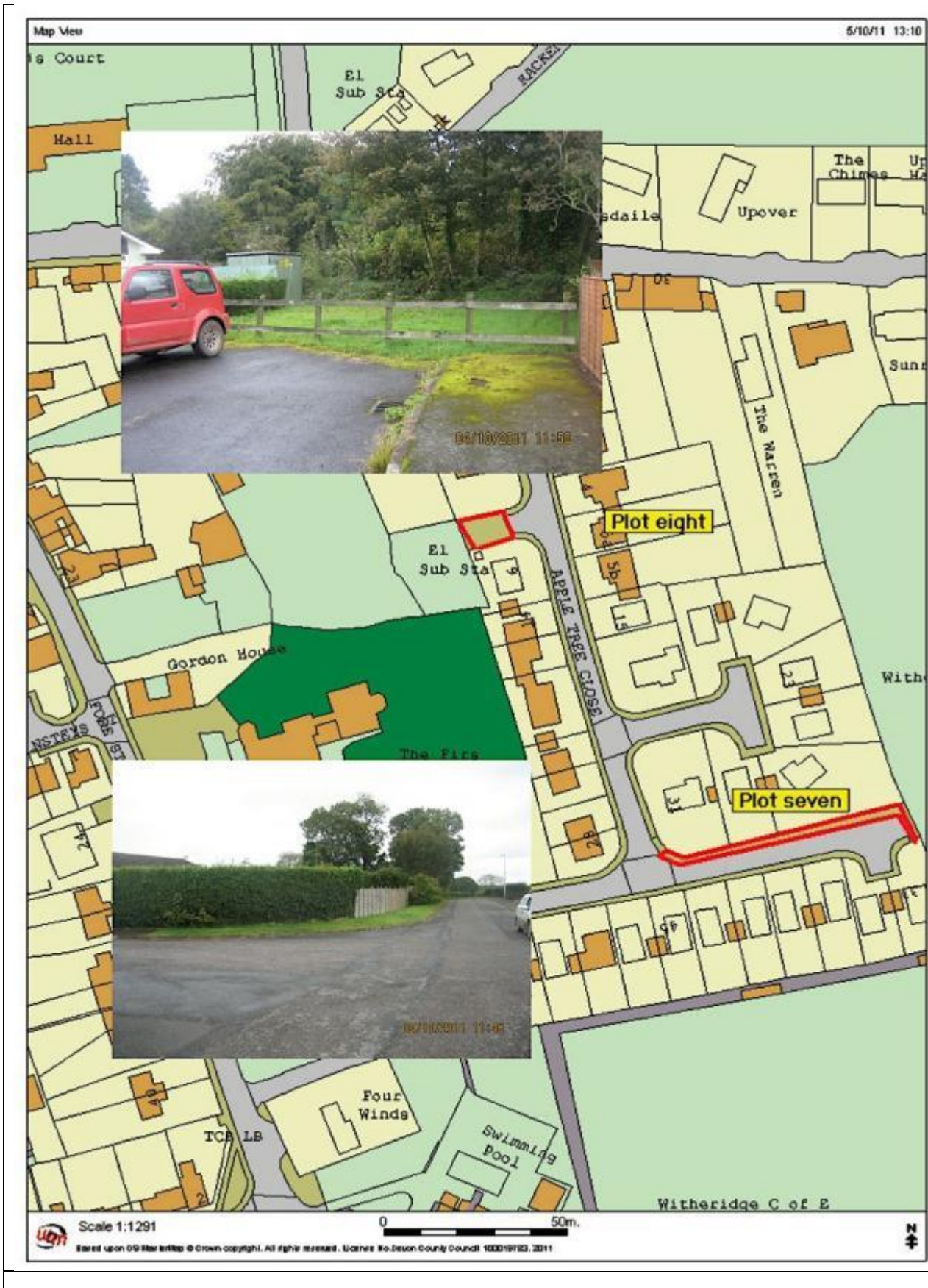


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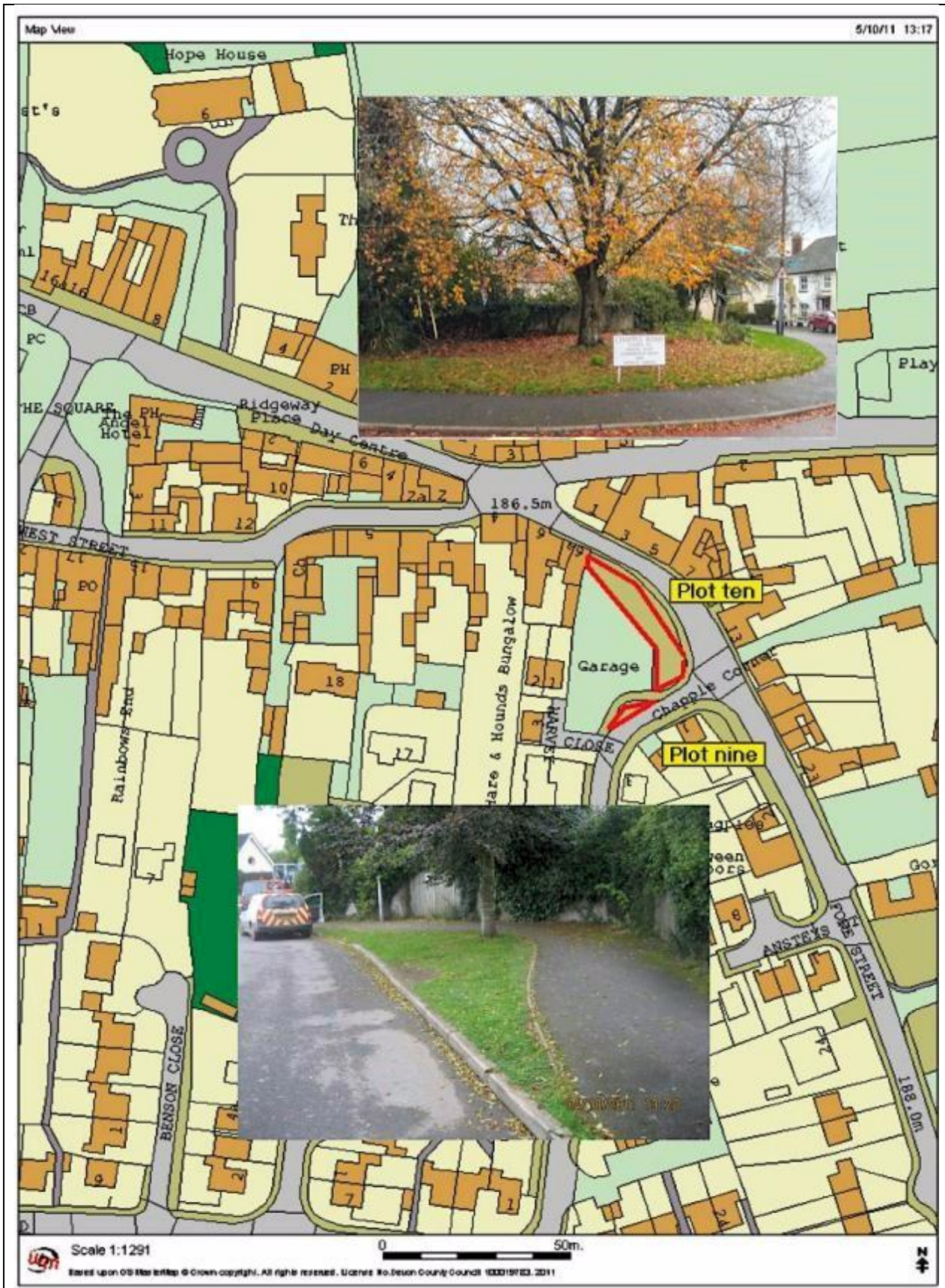


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	<p>Plot 14A (Red)</p> <p>Grass bank/verge Butts Close off B3137</p>
	<p>Plots 14b & c (Blue)</p> <p>Grass bank/verge B3137/ Junction with Lakelands Close</p>

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Appendix II – Parish Property

	<p>Plot 15 (Red) Adventure Playground adjacent B3137</p> <p>Note addition of Willow Rise Corner (WRC) – please price Plot 15 with two options – one excluding WRC and additional WRC cost separately.</p>
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**Plot 16
(Red)**
Parish Hall
grounds
North Street

**Plot 17
(Yellow)**
Children's
Playground

**Plot 18
(Blue)**
Two Moors
Way

**Plot 19
(Purple)**
Two Moors
Way

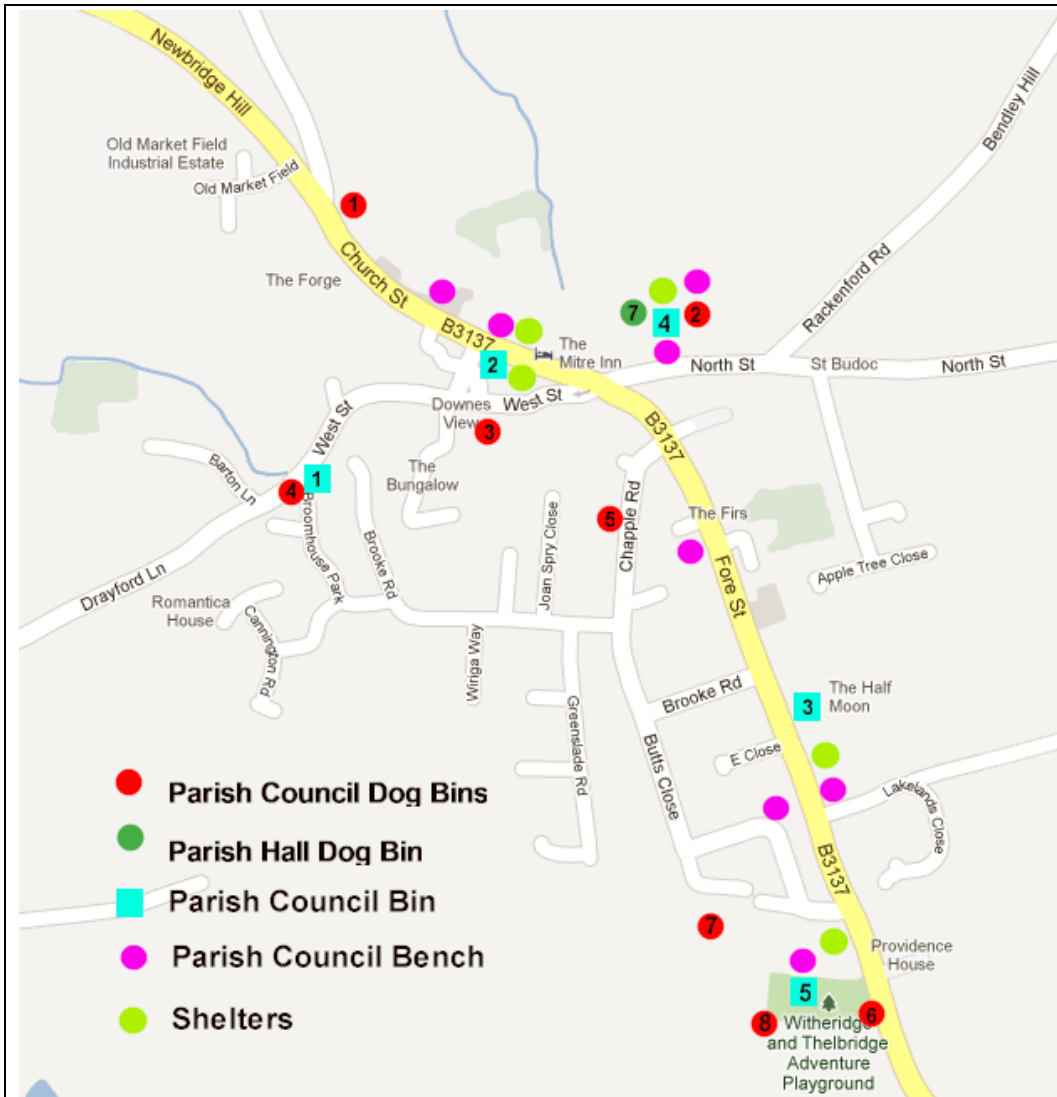


Plot 20
Village
Nameplates
(Red)

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Plot 21 (Red)

Dog Waste Bins not located at other specified grass cutting locations pictured bin numbers:-

1 Mill Lane.

4 Drayford Lane to Broomhouse Park footpath.

7 Willow Rise junction with Public Right of Way.



8 Adventure Playground path joining Willow Rise.

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	<p>Yellow & Blue highlighted planters to be maintained by Witheridge In Bloom community group.</p> <p>Plot 24 (Red) Public Conveniences</p>
	<p>Plot 25 Drayford Green</p>

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SUMMARY SCHEDULE	FREQUENCY									
PLOTS 1-14c - HIGHWAY VERGES										
Operation	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	
Mow / Strim all grass areas.	1	1	2	2	1	1	1	1		
PLOT 15 - ADVENTURE PLAYGROUND										
Operation	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	
Mow all grass areas.	1	1	2	2	2	1	1	1	1	
Strim around the inside of the perimeter fencing & hedges.		1	1	1	1	1	1			
Supply and apply a suitable herbicide along the base of perimeter fencing and access track from highway.				1		1				
Trim back the perimeter hedging & trees.							1			
PLOT 16 - PARISH HALL GROUNDS - DISCONTINUED										
Operation	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	
Mow all grass areas.										
Strim around the inside of the perimeter fencing and the base of hedges.										
PLOT 17 - CHILDRENS PLAYGROUND										
Operation	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	
Mow all grass areas.	1	1	2	2	2	2	1	1	1	
Strim around the inside of the perimeter fencing and the base of hedges.	1		1		1		1		1	
Supply and apply a suitable herbicide along the base of perimeter fencing to aid strimming.				1		1				
PLOT 18 - TWO MOORS WAY NORTH STREET TO FIELD ENTRANCE										
Operation	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	
Strim path.			1				1			
PLOT 19 - TWO MOORS WAY										
Operation	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	
Cut hedge back hard.			1				1			
PLOT 20 – VILLAGE NAME PLATES										
Operation	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	
Strim around.	1	1	1	1	1	1	1	1		
PLOT 21 – DOG WASTE BINS										
Operation	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	
Strim around.	1	1	1	1	1	1	1	1		
PLOT 24 - 24a – THE SQUARE										
Operation	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	
24 Clear kerblin gutters of silt and weeds around public toilet block	1				1					1
24a Weed around noticeboard and all trees around Square & Church Street			1			1				

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PLOT 25 – DRAYFORD GREEN, DRAYFORD									
Operation	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov
Mow all grass areas.	1	1	2	2	1	1	1	1	
Strim around the inside of the perimeter and ditches to maintain visibility of water hazard.		1		1		1		1	
Strim reed growth on river bank to <u>maintain visibility of water hazard. Maintain railing posts around perimeter free of ivy growth.</u>				1					
Cut hedge back hard along drainage ditch from road adjacent to noticeboard running alongside green to river (hedge should not be left overhanging drainage ditch).								1	
Plot 26 - Witheridge Village All Kerblines									
Operation	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov
Supply and apply a suitable herbicide to all streets and public paths / alleys (kerb lines and rear of paths) within the village urban area, alleys include:- 1/ The Drang (path between Square & West Street) 2/ Post Office Lane (path from PO to Brooke Rd) 3/ The Bow (path from North St to Fore St) 4/ Path from Apple Tree Close to Fore St			1		1				

See website for current contact details:- www.witheridgepc.org .

MODEL FINANCIAL REGULATIONS FOR LOCAL COUNCILS

This Model Financial Regulations template was produced by the National Association of Local Councils (NALC) in April 2024 for the purpose of its member councils and county associations. Every effort has been made to ensure that the contents of this document are correct at time of publication. NALC cannot accept responsibility for errors, omissions and changes to information subsequent to publication.

Notes to assist in the use of this template:

- 1) This document is a model for councils of all sizes to use to develop their own financial regulations, suitable for the size of the council and the activities it undertakes.
- 2) Bold text indicates legal requirements, which a council cannot change or suspend.
- 3) For the rest, each council needs to adapt the model to suit its size and structure. For example, some councils have both a clerk and RFO, possibly with several more staff, while others have a single employee as clerk/RFO. Some councils have committees, some have a high level of delegation and some make all decisions at full council meetings. Many now use online payment methods, but others still rely on cheques.
- 4) Curly brackets indicate words, sentences or sections that can be removed if not applicable, or amended to fit the council's circumstances. An example of this is the phrase {or duly delegated committee}, which can be deleted if there are no committees.
- 5) Specific areas that may need adapting:
 - a) In 1.5 – is the Clerk the RFO?
 - b) In 3.3 and 3.4, the words “Governance and Accountability” do not apply in Wales
 - c) In section 4, does the council have committees and how many years are forecast?
 - d) In 5.6, does the council issue an open invitation to tender, or invite specific firms?
 - e) In 5.9, are online prices acceptable evidence?
 - f) In 5.13, 5.15 and 5.17, does the council have committees?
 - g) In 5.16, will a councillor ever be instructed to place an order?
 - h) In 5.20, is there a minimum level for official orders?
 - i) Section 6 includes several alternatives to cover delegation to committees or to officers, approval of invoices individually or in batches, or for approval of regular contractual payments at the beginning of the year.
 - j) Sections 7, 8 and 9 also includes several alternatives, including wording for where the clerk is a signatory. These are intended to allow a council's financial regulations to fit what they actually do, not to force any council to change what they do.
 - k) Section 10 gives two alternatives, with or without petty cash.
 - l) 13.6 has alternatives for VAT-registered and unregistered councils – only use one.
 - m) 13.7 and 13.8 are removable if they don't apply to the council.
 - n) Much of Section 16 can be deleted if not applicable.
 - o) 17.3, is the Clerk the RFO or will the RFO consult the Clerk?

- 6) Square brackets indicate where the council needs to specify who, or how much, or what the timescale is. For example [£500] might need to be £100, or [October] might need to be November, or [the council] might need to say the Policy and Resources Committee.
 - a) In 4.1 and 4.7, select the wording for England or Wales, based on your location.
 - b) In Section 4, the council needs to determine the timescale for its budget setting.
- 7) It is challenging to try to offer guidance on setting financial limits. A council spending £1,000 a year is unlikely to delegate authority to spend £500 to its proper officer, but one spending £5 million a year might regard £5,000 as a reasonable limit. Each council needs to determine its own limits, that help, rather than hinder, its operations.
- 8) Key limits to set:
 - a) In 5.6, at what limit will the council require a formal tender process to ensure fair competition, rather than just asking for quotes? If this is set too low, it may discourage suppliers. Many small councils might only use formal tenders once every few years.
 - b) In 5.8, at what limit will the council require fixed-price quotes rather than estimates?
 - c) In 5.9, at what level can smaller purchases be made without competition?
 - d) In 5.15, at what level can purchases be made under delegated authority (having complied with the rules about obtaining prices)?
 - e) In 5.18, how much can the clerk commit to spending in an emergency?
 - f) In 6.9, can payment of invoices (for purchases that have already been authorised) be authorised by an officer under delegated authority as a general principle, or only to avoid problems?
 - g) In Section 9, what are the limits for card payments?
 - h) In 16.5, what value of assets can be bought or disposed of, without seeking council approval?
- 9) The contents list is a table that extracts section headings from the document. It can be updated by clicking on the contents list, whereupon a tab saying “update table” appears at the top of the list.
- 10) Once this model has been tailored to fit the council’s needs, the resulting Financial Regulations (with the insertion of the council’s name at the top) should be adopted at a meeting of the full council. The date of adoption should be inserted below the Contents. Any subsequent proposal for amendment should also be made to the full council.
- 11) The council should keep abreast of developments in legislation that affect the local council sector and should review and update its Financial Regulations annually.
- 12) Please ensure that the latest approved version is published on the council’s website.

[WITHERIDGE PARISH COUNCIL] FINANCIAL REGULATIONS

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These Financial Regulations were adopted by the council at its meeting held on [enter date].

1. General

- 1.1. These Financial Regulations govern the financial management of the council and may only be amended or varied by resolution of the council. They are one of the council's governing documents and shall be observed in conjunction with the council's Standing Orders.
- 1.2. Councillors are expected to follow these regulations and not to entice employees to breach them. Failure to follow these regulations brings the office of councillor into disrepute.
- 1.3. Wilful breach of these regulations by an employee may result in disciplinary proceedings.
- 1.4. In these Financial Regulations:
 - 'Accounts and Audit Regulations' means the regulations issued under Sections 32, 43(2) and 46 of the Local Audit and Accountability Act 2014, or any superseding legislation, and then in force, unless otherwise specified.
 - "Approve" refers to an online action, allowing an electronic transaction to take place.
 - "Authorise" refers to a decision by the council, or a committee or an officer, to allow something to happen.
 - 'Proper practices' means those set out in *The Practitioners' Guide*
 - *Practitioners' Guide* refers to the guide issued by the Joint Panel on Accountability and Governance (JPAG) and published by NALC in England or Governance and Accountability for Local Councils in Wales – A Practitioners Guide jointly published by One Voice Wales and the Society of Local Council Clerks in Wales.
 - 'Must' and **bold text** refer to a statutory obligation the council cannot change.
 - 'Shall' refers to a non-statutory instruction by the council to its members and staff.
- 1.5. The Responsible Financial Officer (RFO) holds a statutory office, appointed by the council. **[The Clerk has been appointed as RFO and these regulations apply accordingly.];**
 - acts under the policy direction of the council;
 - administers the council's financial affairs in accordance with all Acts, Regulations and proper practices;
 - determines on behalf of the council its accounting records and control systems;
 - ensures the accounting control systems are observed;
 - ensures the accounting records are kept up to date;
 - seeks economy, efficiency and effectiveness in the use of council resources; and
 - produces financial management information as required by the council.
- 1.6. **The council must not delegate any decision regarding:**
 - **setting the final budget or the precept (council tax requirement);**
 - **the outcome of a review of the effectiveness of its internal controls**
 - **approving accounting statements;**
 - **approving an annual governance statement;**
 - **borrowing;**
 - **declaring eligibility for the General Power of Competence; and**

- **addressing recommendations from the internal or external auditors**

1.7. In addition, the council shall:

- determine and regularly review the bank mandate for all council bank accounts;
- authorise any grant or single commitment in excess of [£5,000]; and

2. Risk management and internal control

2.1. The council must ensure that it has a sound system of internal control, which delivers effective financial, operational and risk management.

2.2. The Clerk [with the RFO] shall prepare, for approval by [the council], a risk management policy covering all activities of the council. This policy and consequential risk management arrangements shall be reviewed by the council at least annually.

2.3. When considering any new activity, the Clerk [with the RFO] shall prepare a draft risk assessment including risk management proposals for consideration by the council.

2.4. At least once a year, the council must review the effectiveness of its system of internal control, before approving the Annual Governance Statement.

2.5. The accounting control systems determined by the RFO must include measures to:

- **ensure that risk is appropriately managed;**
- **ensure the prompt, accurate recording of financial transactions;**
- **prevent and detect inaccuracy or fraud; and**
- **allow the reconstitution of any lost records;**
- **identify the duties of officers dealing with transactions and**
- **ensure division of responsibilities.**

2.6. At least [once in each quarter], and at each financial year end, a member other than the Chair {or a cheque signatory} shall be appointed to verify bank reconciliations (for all accounts) produced by the RFO. The member shall sign and date the reconciliations and the original bank statements (or similar document) as evidence of this. This activity, including any exceptions, shall be reported to and noted by the council {Finance Committee}.

2.7. Regular back-up copies shall be made of the records on any council computer and stored either online or in a separate location from the computer. The council shall put measures in place to ensure that the ability to access any council computer is not lost if an employee leaves or is incapacitated for any reason.

3. Accounts and audit

3.1. All accounting procedures and financial records of the council shall be determined by the RFO in accordance with the Accounts and Audit Regulations.

3.2. The accounting records determined by the RFO must be sufficient to explain the council's transactions and to disclose its financial position with reasonably accuracy at any time. In particular, they must contain:

- **day-to-day entries of all sums of money received and expended by the council and the matters to which they relate;**

- **a record of the assets and liabilities of the council;**
- 3.3. The accounting records shall be designed to facilitate the efficient preparation of the accounting statements in the Annual {Governance and Accountability} Return.
 - 3.4. The RFO shall complete and certify the annual Accounting Statements of the council contained in the Annual {Governance and Accountability} Return in accordance with proper practices, as soon as practicable after the end of the financial year. Having certified the Accounting Statements, the RFO shall submit them (with any related documents) to the council, within the timescales required by the Accounts and Audit Regulations.
 - 3.5. **The council must ensure that there is an adequate and effective system of internal audit of its accounting records and internal control system in accordance with proper practices.**
 - 3.6. **Any officer or member of the council must make available such documents and records as the internal or external auditor consider necessary for the purpose of the audit** and shall, as directed by the council, supply the RFO, internal auditor, or external auditor with such information and explanation as the council considers necessary.
 - 3.7. The internal auditor shall be appointed by [the council] and shall carry out their work to evaluate the effectiveness of the council's risk management, control and governance processes in accordance with proper practices specified in the Practitioners' Guide.
 - 3.8. The council shall ensure that the internal auditor:
 - is competent and independent of the financial operations of the council;
 - reports to council in writing, or in person, on a regular basis with a minimum of one written report during each financial year;
 - can demonstrate competence, objectivity and independence, free from any actual or perceived conflicts of interest, including those arising from family relationships; and
 - has no involvement in the management or control of the council
 - 3.9. Internal or external auditors may not under any circumstances:
 - perform any operational duties for the council;
 - initiate or approve accounting transactions;
 - provide financial, legal or other advice including in relation to any future transactions; or
 - direct the activities of any council employee, except to the extent that such employees have been appropriately assigned to assist the internal auditor.
 - 3.10. For the avoidance of doubt, in relation to internal audit the terms 'independent' and 'independence' shall have the same meaning as described in The Practitioners Guide.
 - 3.11. The RFO shall make arrangements for the exercise of electors' rights in relation to the accounts, including the opportunity to inspect the accounts, books, and vouchers and display or publish any notices and documents required by the Local Audit and Accountability Act 2014, or any superseding legislation, and the Accounts and Audit Regulations.
 - 3.12. The RFO shall, without undue delay, bring to the attention of all councillors any correspondence or report from internal or external auditors.

4. Budget and precept

- 4.1. **Before setting a precept, the council must calculate its [council tax (England)] requirement for each financial year by preparing and approving a budget, in accordance with The Local Government Finance Act 1992 or succeeding legislation.**
- 4.2. Budgets for salaries and wages, including employer contributions shall be reviewed by [the Finance and General Purposes Committee] at least annually in [November] for the following financial year and the final version shall be evidenced by a hard copy schedule signed by the Clerk and the [Chair of the Council or relevant committee]. [The RFO will inform committees of any salary implications before they consider their draft budgets.]
- 4.3. No later than [November] each year, the RFO shall prepare a draft budget with detailed estimates of all [receipts and payments/income and expenditure] for the following financial year {along with a forecast for the following [three financial years]}, taking account of the lifespan of assets and cost implications of repair or replacement.
- 4.4. Unspent budgets for completed projects shall not be carried forward to a subsequent year. {Unspent funds for partially completed projects may only be carried forward (by placing them in an earmarked reserve) with the formal approval of the full council.}
- 4.5. Each committee (if any) shall review its draft budget and submit any proposed amendments to the council {finance committee} not later than the end of [October] each year.
- 4.6. The draft budget {with any committee proposals and [three-year]} forecast, including any recommendations for the use or accumulation of reserves, shall be considered by the {finance committee and a recommendation made to the} council.
- 4.7. Having considered the proposed budget and [three-year] forecast, the council shall determine its [council tax (England)/budget (Wales)] requirement by setting a budget. The council shall set a precept for this amount no later than [the end of January] for the ensuing financial year.
- 4.8. **Any member with council tax unpaid for more than two months is prohibited from voting on the budget or precept by Section 106 of the Local Government Finance Act 1992 and must and must disclose at the start of the meeting that Section 106 applies to them.**
- 4.9. The RFO shall **issue the precept to the billing authority no later than the end of February** and supply each member with a copy of the agreed annual budget.
- 4.10. The agreed budget provides a basis for monitoring progress during the year by comparing actual spending and income against what was planned.
- 4.11. Any addition to, or withdrawal from, any earmarked reserve shall be agreed by the council {or relevant committee}.

5. Procurement

- 5.1. **Members and officers are responsible for obtaining value for money at all times.** Any officer procuring goods, services or works should ensure, as far as practicable, that the best available terms are obtained, usually by obtaining prices from several suppliers.
- 5.2. The RFO should verify the lawful nature of any proposed purchase before it is made and in the case of new or infrequent purchases, should ensure that the legal power being used is reported to the meeting at which the order is authorised and also recorded in the minutes.

- 5.3. Every contract shall comply with these the council's Standing Orders and these Financial Regulations and no exceptions shall be made, except in an emergency.
- 5.4. **For a contract for the supply of goods, services or works where the estimated value will exceed the thresholds set by Parliament, the full requirements of The Public Contracts Regulations 2015 or any superseding legislation ("the Legislation"), must be followed in respect of the tendering, award and notification of that contract.**
- 5.5. Where the estimated value is below the Government threshold, the council shall (with the exception of items listed in paragraph 6.12) obtain prices as follows:
- 5.6. For contracts estimated to exceed [£60,000] including VAT, the Clerk shall {seek formal tenders from at least [three] suppliers agreed by [the council]} **OR {advertise an open invitation for tenders in compliance with any relevant provisions of the Legislation}**. Tenders shall be invited in accordance with Appendix 1.
- 5.7. **For contracts estimated to be over £30,000 including VAT, the council must comply with any requirements of the Legislation¹ regarding the advertising of contract opportunities and the publication of notices about the award of contracts.**
- 5.8. For contracts greater than [£3,000] excluding VAT the Clerk [or RFO] shall seek at least [3] fixed-price quotes;
- 5.9. where the value is between [£500] and [£3,000] excluding VAT, the Clerk [or RFO] shall try to obtain 3 estimates {which might include evidence of online prices, or recent prices from regular suppliers.}
- 5.10. For smaller purchases, [the clerk] shall seek to achieve value for money.
- 5.11. **Contracts must not be split into smaller lots to avoid compliance with these rules.**
- 5.12. The requirement to obtain competitive prices in these regulations need not apply to contracts that relate to items (i) to (iv) below:
- i. specialist services, such as legal professionals acting in disputes;
 - ii. repairs to, or parts for, existing machinery or equipment;
 - iii. works, goods or services that constitute an extension of an existing contract;
 - iv. goods or services that are only available from one supplier or are sold at a fixed price.
- 5.13. When applications are made to waive this financial regulation to enable a price to be negotiated without competition, the reason should be set out in a recommendation to the council {or relevant committee}. Avoidance of competition is not a valid reason.
- 5.14. The council shall not be obliged to accept the lowest or any tender, quote or estimate.
- 5.15. Individual purchases within an agreed budget for that type of expenditure may be authorised by:
- [the Clerk], under delegated authority, for any items below [£500] excluding VAT.
 - the Clerk, in consultation with the Chair of the Council {or Chair of the appropriate committee}, for any items below [£2,000] excluding VAT.

¹ The Regulations require councils to use the Contracts Finder website if they advertise contract opportunities and also to publicise the award of contracts over £30,000 including VAT, regardless of whether they were advertised.

- {a duly delegated committee of the council for all items of expenditure within their delegated budgets for items under [£5,000] excluding VAT}
- {in respect of grants, a duly authorised committee within any limits set by council and in accordance with any policy statement agreed by the council.}
- the council for all items over [£5,000];

Such authorisation must be supported by a minute (in the case of council or committee decisions) or other auditable evidence trail.

- 5.16. No individual member, or informal group of members may issue an official order {unless instructed to do so in advance by a resolution of the council} or make any contract on behalf of the council.
- 5.17. No expenditure may be authorised that will exceed the budget for that type of expenditure other than by resolution of the council {or a duly delegated committee acting within its Terms of Reference} except in an emergency.
- 5.18. In cases of serious risk to the delivery of council services or to public safety on council premises, the clerk may authorise expenditure of up to [£2,000] excluding VAT on repair, replacement or other work that in their judgement is necessary, whether or not there is any budget for such expenditure. The Clerk shall report such action to the Chair as soon as possible and to [the council] as soon as practicable thereafter.
- 5.19. No expenditure shall be authorised, no contract entered into or tender accepted in relation to any major project, unless [the council] is satisfied that the necessary funds are available and that where a loan is required, Government borrowing approval has been obtained first.
- 5.20. An official order or letter shall be issued for all work, goods and services {above [£250] excluding VAT} unless a formal contract is to be prepared or an official order would be inappropriate. Copies of orders shall be retained, along with evidence of receipt of goods.
- 5.21. Any ordering system can be misused and access to them shall be controlled by [the RFO].

6. Banking and payments

- 6.1. The council's banking arrangements, including the bank mandate, shall be made by the RFO and authorised by the council; banking arrangements shall not be delegated to a committee. The council has resolved to bank with [Lloyds bank]. The arrangements shall be reviewed [annually] for security and efficiency.
- 6.2. The council must have safe and efficient arrangements for making payments, to safeguard against the possibility of fraud or error. Wherever possible, more than one person should be involved in any payment, for example by dual online authorisation or dual cheque signing. Even where a purchase has been authorised, the payment must also be authorised and only authorised payments shall be approved or signed to allow the funds to leave the council's bank.
- 6.3. All invoices for payment should be examined for arithmetical accuracy, analysed to the appropriate expenditure heading and verified to confirm that the work, goods or services were received, checked and represent expenditure previously authorised by the council before being certified by [the RFO]. {Where the certification of invoices is done as a batch, this shall include a statement by the RFO that all invoices listed have been 'examined, verified and certified' by the RFO}.

- 6.4. Personal payments (including salaries, wages, expenses and any payment made in relation to the termination of employment) may be summarised to avoid disclosing any personal information.
- 6.5. All payments shall be made by [online banking/cheque/charge card], in accordance with a resolution of the council {or duly delegated committee}{or a delegated decision by an officer}, unless [the council] resolves to use a different payment method.
- 6.6. {For each financial year [the RFO] may draw up a schedule of regular payments due in relation to a continuing contract or obligation (such as Salaries, PAYE, National Insurance, pension contributions, rent, rates, regular maintenance contracts and similar items), which the council {or a duly delegated committee} may authorise in advance for the year}.
- 6.7. {A copy of this schedule of regular payments shall be signed by [two members] on each and every occasion when payment is made - to reduce the risk of duplicate payments.}
- 6.8. {A list of such payments shall be reported to the next appropriate meeting of the council or Finance Committee} for information only.
- 6.9. The Clerk and RFO shall have delegated authority to authorise payments {only} in the following circumstances:
 - i. {any payments of up to [£500] excluding VAT, within an agreed budget}.
 - ii. payments of up to [£2,000] excluding VAT in cases of serious risk to the delivery of council services or to public safety on council premises.
 - iii. any payment necessary to avoid a charge under the Late Payment of Commercial Debts (Interest) Act 1998 {or to comply with contractual terms}, where the due date for payment is before the next scheduled meeting of [the council], where the [Clerk and RFO] certify that there is no dispute or other reason to delay payment, provided that a list of such payments shall be submitted to the next appropriate meeting of council {or finance committee}.
 - iv. Fund transfers within the councils banking arrangements up to the sum of [£15,000], provided that a list of such payments shall be submitted to the next appropriate meeting of council [or finance committee].
- 6.10. The RFO shall present a schedule of payments requiring authorisation, forming part of the agenda for the meeting, together with the relevant invoices, to the council {or finance committee}. The council {or committee} shall review the schedule for compliance and, having satisfied itself, shall authorise payment by resolution. The authorised schedule shall be initialled immediately below the last item by the person chairing the meeting. A detailed list of all payments shall be disclosed within or as an attachment to the minutes of that meeting.

7. Electronic payments

- 7.1. Where internet banking arrangements are made with any bank, [the RFO] shall be appointed as the Service Administrator. The bank mandate agreed by the council shall identify [a number of] councillors who will be authorised to approve transactions on those accounts and a minimum of two people will be involved in any online approval process. ~~{The Clerk may be an authorised signatory, but no signatory should be involved in approving any payment to themselves.}~~
- 7.2. All authorised signatories shall have access to view the council's bank accounts online.

- 7.3. No employee or councillor shall disclose any PIN or password, relevant to the council or its banking, to anyone not authorised in writing by the council or a duly delegated committee.
- 7.4. The Service Administrator shall set up all items due for payment online. A list of payments for approval, together with copies of the relevant invoices, shall be sent [by email] to [two] authorised signatories.
- 7.5. In the prolonged absence of the Service Administrator [an authorised signatory] shall set up any payments due before the return of the Service Administrator.
- 7.6. Two [councillors who are] authorised signatories shall check the payment details against the invoices before approving each payment using the online banking system.
- 7.7. Evidence shall be retained showing which members approved the payment online {and a printout of the transaction confirming that the payment has been made shall be appended to the invoice for audit purposes}.
- 7.8. A full list of all payments made in a month shall be provided to the next [council] meeting {and appended to the minutes}.
- 7.9. With the approval of [the council] in each case, regular payments (such as gas, electricity, telephone, broadband, water, National Non-Domestic Rates, refuse collection, pension contributions and HMRC payments) may be made by variable direct debit, provided that the instructions are [signed/approved online] by [two authorised members]. The approval of the use of each variable direct debit shall be reviewed by [the council] at least every two years.
- 7.10. Payment may be made by BACS or CHAPS by resolution of [the council] provided that each payment is approved online by [two authorised bank signatories], evidence is retained and any payments are reported to [the council] at the next meeting. The approval of the use of BACS or CHAPS shall be renewed by resolution of the council at least every two years.
- 7.11. If thought appropriate by the council, regular payments of fixed sums may be made by banker's standing order, provided that the instructions are signed {or approved online} by [two members], evidence of this is retained and any payments are reported to council when made. The approval of the use of a banker's standing order shall be reviewed by [the council] at least every two years.
- 7.12. Account details for suppliers may only be changed upon written notification by the supplier verified by [two of] the Clerk and [the RFO] [a member]. This is a potential area for fraud and the individuals involved should ensure that any change is genuine. Data held should be checked with suppliers every [two years].
- 7.13. Members and officers shall ensure that any computer used for the council's financial business has adequate security, with anti-virus, anti-spyware and firewall software installed and regularly updated.
- 7.14. Remembered password facilities {other than secure password stores requiring separate identity verification} should not be used on any computer used for council banking.

8. Cheque payments

- 8.1. Cheques or orders for payment in accordance in accordance with a resolution or delegated decision shall be signed by [two members] [and countersigned by the Clerk].
- 8.2. A signatory having a family or business relationship with the beneficiary of a payment shall not, under normal circumstances, be a signatory to that payment.

- 8.3. To indicate agreement of the details on the cheque with the counterfoil and the invoice or similar documentation, the signatories shall also initial the cheque counterfoil and invoice.
- 8.4. {Cheques or orders for payment shall not normally be presented for signature other than at, or immediately before or after a council {or committee} meeting}. Any signatures obtained away from council meetings shall be reported to the council {or Finance Committee} at the next convenient meeting.

9. Payment cards

- 9.1. Any Debit Card issued for use will be specifically restricted to [the Clerk and the RFO] and will also be restricted to a single transaction maximum value of [£500] unless authorised by council or finance committee in writing before any order is placed.
- 9.2. A pre-paid debit card may be issued to employees with varying limits. These limits will be set by [the council]. Transactions and purchases made will be reported to [the council] and authority for topping-up shall be at the discretion of [the council].
- 9.3. Any corporate credit card or trade card account opened by the council will be specifically restricted to use by the Clerk ~~{and RFO} {specify other officers}~~ and any balance shall be paid in full each month.
- 9.4. Personal credit or debit cards of members or staff shall not be used ~~{under any circumstances.}~~ **OR** {except for expenses of up to [£150] including VAT, incurred in accordance with council policy.}

10. Petty Cash

- 10.1. {The council will not maintain any form of cash float. All cash received must be banked intact. Any payments made in cash by the Clerk [or RFO] (for example for postage or minor stationery items) shall be refunded on a regular basis, at least quarterly.} **OR** ~~{The RFO shall maintain a petty cash [float/imprest account] of [£250] and may provide petty cash to officers for the purpose of defraying operational and other expenses.}~~
- a) Vouchers for payments made from petty cash shall be kept, along with receipts to substantiate every payment.
 - b) Cash income received must not be paid into the petty cash float but must be separately banked, as provided elsewhere in these regulations.
 - c) Payments to maintain the petty cash float shall be shown separately on any schedule of payments presented for approval.}

11. Payment of salaries and allowances

- 11.1. **As an employer, the council must make arrangements to comply with the statutory requirements of PAYE legislation.**
- 11.2. **Councillors allowances (where paid) are also liable to deduction of tax under PAYE rules and must be taxed correctly before payment.**
- 11.3. Salary rates shall be agreed by the council, or a duly delegated committee. No changes shall be made to any employee's gross pay, emoluments, or terms and conditions of employment without the prior consent of the council {or relevant committee}.
- 11.4. Payment of salaries shall be made, after deduction of tax, national insurance, pension contributions and any similar statutory or discretionary deductions, on the dates stipulated in employment contracts.

- 11.5. Deductions from salary shall be paid to the relevant bodies within the required timescales, provided that each payment is reported, as set out in these regulations above.
- 11.6. Each payment to employees of net salary and to the appropriate creditor of the statutory and discretionary deductions shall be recorded in a payroll control account or other separate confidential record, with the total of such payments each calendar month reported in the cashbook. Payroll reports will be reviewed by [the finance committee] to ensure that the correct payments have been made.
- 11.7. Any termination payments shall be supported by a report to the council, setting out a clear business case. Termination payments shall only be authorised by the full council.
- 11.8. Before employing interim staff, the council must consider a full business case.

12. Loans and investments

- 12.1. Any application for Government approval to borrow money and subsequent arrangements for a loan must be authorised by the full council and recorded in the minutes. All borrowing shall be in the name of the council, after obtaining any necessary approval.
- 12.2. Any financial arrangement which does not require formal borrowing approval from the [Secretary of State/Welsh Assembly Government] (such as Hire Purchase, Leasing of tangible assets or loans to be repaid within the financial year) must be authorised by the full council, following a written report on the value for money of the proposed transaction.
- 12.3. The council shall consider the requirement for an Investment Strategy and Policy in accordance with Statutory Guidance on Local Government Investments, which must be written in accordance with relevant regulations, proper practices and guidance. Any Strategy and Policy shall be reviewed by the council at least annually.
- 12.4. All investment of money under the control of the council shall be in the name of the council.
- 12.5. All investment certificates and other documents relating thereto shall be retained in the custody of the RFO.
- 12.6. Payments in respect of short term or long-term investments, including transfers between bank accounts held in the same bank, shall be made in accordance with these regulations.

13. Income

- 13.1. The collection of all sums due to the council shall be the responsibility of and under the supervision of the RFO.
- 13.2. The council will review all fees and charges for work done, services provided, or goods sold at least annually as part of the budget-setting process, following a report of the Clerk. [The RFO] shall be responsible for the collection of all amounts due to the council.
- 13.3. Any sums found to be irrecoverable and any bad debts shall be reported to the council by [the RFO] and shall be written off in the year. The council's approval shall be shown in the accounting records.
- 13.4. All sums received on behalf of the council shall be deposited intact with the council's bankers, with such frequency as the RFO considers necessary. The origin of each receipt shall clearly be recorded on the paying-in slip or other record.
- 13.5. Personal cheques shall not be cashed out of money held on behalf of the council.

13.6. {The RFO shall ensure that VAT is correctly recorded in the council's accounting software and that any VAT Return required is submitted from the software by the due date}. OR {Any repayment claim under section 33 of the VAT Act 1994 shall be made **quarterly where the claim exceeds £100 and** at least annually at the end of the financial year.}

13.7. {Where significant sums of cash are regularly received by the council, the RFO shall ensure that more than one person is present when the cash is counted in the first instance, that there is a reconciliation to some form of control record such as ticket issues, and that appropriate care is taken for the security and safety of individuals banking such cash.}

13.8. {Any income that is the property of a charitable trust shall be paid into a charitable bank account. Instructions for the payment of funds due from the charitable trust to the council (to meet expenditure already incurred by the authority) will be given by the Managing Trustees of the charity meeting separately from any council meeting.}

14. Payments under contracts for building or other construction works

14.1. Where contracts provide for payment by instalments the RFO shall maintain a record of all such payments, which shall be made within the time specified in the contract based on signed certificates from the architect or other consultant engaged to supervise the works.

14.2. Any variation of, addition to or omission from a contract must be authorised by [the Clerk] to the contractor in writing, with the council being informed where the final cost is likely to exceed the contract sum by 5% or more, or likely to exceed the budget available.

15. Stores and equipment

15.1. {[The officer in charge of each section] shall be responsible for the care and custody of stores and equipment [in that section].}

15.2. Delivery notes shall be obtained in respect of all goods received into store or otherwise delivered and goods must be checked as to order and quality at the time delivery is made.

15.3. {Stocks shall be kept at the minimum levels consistent with operational requirements.}

15.4. {The RFO shall be responsible for periodic checks of stocks and stores, at least annually.}

16. Assets, properties and estates

16.1. The Clerk shall make arrangements for the safe custody of all title deeds and Land Registry Certificates of properties held by the council.

16.2. The RFO shall ensure that an appropriate and accurate Register of Assets and Investments is kept up to date, with a record of all properties held by the council, their location, extent, plan, reference, purchase details, nature of the interest, tenancies granted, rents payable and purpose for which held, in accordance with Accounts and Audit Regulations.

16.3. The continued existence of tangible assets shown in the Register shall be verified at least annually, possibly in conjunction with a health and safety inspection of assets.

16.4. No interest in land shall be purchased or otherwise acquired, sold, leased or otherwise disposed of without the authority of the council, together with any other consents required by law. In each case a written report shall be provided to council in respect of valuation and surveyed condition of the property (including matters such as planning permissions and covenants) together with a proper business case (including an adequate level of consultation with the electorate where required by law).

No tangible moveable property shall be purchased or otherwise acquired, sold, leased or otherwise disposed of, without the authority of the council, together with any other consents required by law, except where the estimated value of any one item does not exceed [£500]. In each case a written report shall be provided to council with a full business case.

17. Insurance

- 17.1. The RFO shall keep a record of all insurances effected by the council and the property and risks covered, reviewing these annually before the renewal date in conjunction with the council's review of risk management.
- 17.2. The Clerk shall give prompt notification to [the Council] of all new risks, properties or vehicles which require to be insured and of any alterations affecting existing insurances.
- 17.3. The RFO shall be notified of any loss, liability, damage or event likely to lead to a claim, and shall report these to [the council] at the next available meeting. The RFO shall negotiate all claims on the council's insurers {in consultation with the Clerk}.
- 17.4. All appropriate members and employees of the council shall be included in a suitable form of security or fidelity guarantee insurance which shall cover the maximum risk exposure as determined [annually] by the council, or duly delegated committee.

18. [Charities]

- 18.1. Where the council is sole managing trustee of a charitable body the Clerk and RFO shall ensure that separate accounts are kept of the funds held on charitable trusts and separate financial reports made in such form as shall be appropriate, in accordance with Charity Law and legislation, or as determined by the Charity Commission. The Clerk and RFO shall arrange for any audit or independent examination as may be required by Charity Law or any Governing Document.]

19. Suspension and revision of Financial Regulations

- 19.1. The council shall review these Financial Regulations [every 3 years] and following any change of clerk or RFO. The Clerk shall monitor changes in legislation or proper practices and advise the council of any need to amend these Financial Regulations.
- 19.2. The council may, by resolution duly notified prior to the relevant meeting of council, suspend any part of these Financial Regulations, provided that reasons for the suspension are recorded and that an assessment of the risks arising has been presented to all members. Suspension does not disapply any legislation or permit the council to act unlawfully.
- 19.3. The council may temporarily amend these Financial Regulations by a duly notified resolution, to cope with periods of absence, local government reorganisation, national restrictions or other exceptional circumstances.

Appendix 1 - Tender process

- 1) Any invitation to tender shall state the general nature of the intended contract and the Clerk shall obtain the necessary technical assistance to prepare a specification in appropriate cases.
- 2) The invitation shall in addition state that tenders must be addressed to the Clerk in the ordinary course of post, unless an electronic tendering process has been agreed by the council.
- 3) Where a postal process is used, each tendering firm shall be supplied with a specifically marked envelope in which the tender is to be sealed and remain sealed until the prescribed date for opening tenders for that contract. All sealed tenders shall be opened at the same time on the prescribed date by the Clerk in the presence of at least one member of council.
- 4) Where an electronic tendering process is used, the council shall use a specific email address that will be monitored to ensure that nobody accesses any tender before the expiry of the deadline for submission.
- 5) Any invitation to tender issued under this regulation shall be subject to Standing Order [insert reference of the council's relevant standing order] and shall refer to the terms of the Bribery Act 2010.
- 6) Where the council, or duly delegated committee, does not accept any tender, quote or estimate, the work is not allocated and the council requires further pricing, no person shall be permitted to submit a later tender, estimate or quote who was present when the original decision-making process was being undertaken.



COMMUNICATION POLICY

1. Introduction

The purpose of this document is to define what will be undertaken to communicate with parishioners and media. It is not the intention of this policy to curb freedom of speech or to enforce strict rules and regulations, rather to provide guidance on finding out about the actions of your parish council.

References to “council” should be read as Wetheridge Parish Council in this document; the council’s website address is www.wetheridgepc.org.

2. Approach

The council is accountable to parishioners for its actions and this can only be achieved through effective two-way communications using the council’s limited resources to maximum effectiveness.

To achieve this:-

- 2.1. A schedule of council meetings will be available on the council website, together with agendas published 3 clear days prior to any given meeting again on the council website and council noticeboards.
- 2.2. All council meetings are open to parishioners and media to attend with the exception being during discussions of regulated confidential matters usually restricted to staffing matters and contractual arrangements arising.
- 2.3. There will be an opportunity for those attending council to ask questions.
- 2.4. All council draft minutes will be usually be published within two weeks of a given meeting on the council’s website with a printed copy made available on the main parish noticeboard in The Square Wetheridge.
- 2.5. All council policies will be available on the council website.
- 2.6. All council provided services will be detailed on the council website.
- 2.7. When significant parish matters arise from time to time updates will be provided on the council website.
- 2.8. When deemed appropriate links from the Wetheridge Parish Council Facebook account maybe used to signpost information.

3. Contact With The Media

- 3.1. The Councillors and clerk should always have due regard for the long-term reputation of the council in all their dealings with the media. Confidential documents, exempt Minutes, reports, papers and private correspondence should not be leaked to the media. If such leaks do occur, an investigation will take place to establish who was responsible and determine appropriate action to be taken.
- 3.2. When the media wish to discuss an issue that is, or is likely to be, subject to legal proceedings then advice should be taken from the council’s solicitor before any response is made.
- 3.3. There are a number of personal privacy issues for the Members and clerk that must be handled carefully and sensitively. These include the release of personal information, such as home address and telephone number; disciplinary procedures and long-term sickness absences that are affecting service provision.



COMMUNICATION POLICY

- 3.4. In all these and similar situations, advice must be taken from the clerk or in their absence the Chairman before any response is made to the media.
- 3.5. All formal requests for comment regarding council policy on any matter should be directed to the clerk in the first instance. If unavailable, the Chairman should be contacted.
- 3.6. When responding to approaches from the media, the Chairman or clerk are authorised to make contact with the media. All responses to the press should be drafted by the Chairman with the assistance of the clerk for accuracy regarding council current policy on the matter being responded to and its lawfulness.
- 3.7. Responses drafted on any matter may however be directed to another councillor with the assistance of the clerk and others for accuracy and lawfulness. In this instance the Chairman should be made fully aware of the response and agree to its contents.
- 3.8. Statements made by the Chairman and the clerk should reflect the council's opinion. If council does not have an official position on the matter raised, this should be stated as council's current position.
- 3.9. Other Councillors can communicate with the media but must ensure that it is clear that the opinions given were their own and not necessarily those of the council. Councillors are at liberty to communicate with the press in their own right as representatives of their parish. However, they must always maintain that they speak as individuals and not on behalf of council and comply with the Code of Conduct.
- 3.10. There are occasions when it is appropriate for the Council to submit a letter, for example to explain important policies or to correct factual errors in letters submitted by other correspondents. Such letters should be kept brief and balanced in tone and correspondence should not be drawn out over several weeks. All correspondence must come from the council office.

Action	Minute Reference
Adopted:	
Amended:	